

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

E2977166 3854S

In re application of: James C. Colson, <i>et al.</i>	: Group Art Unit:
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: "System and Method Enabling Future Messaging Directives Based on Past Participation via a History Monitor"	: 11400 Burnet Road
	: Internal Zip 4054
	: Austin, Texas 78758

Date: 11-13-03INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

AUS920030394US1

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "R M Carwell". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

Robert M. Carwell
Attorney for Applicants
Registration No. 28,499
(512) 823-1017

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. _____

Applicant: James C. Colson

Filing Date: _____

Group: _____

Atty. Docket No. AUS920030394US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner	Document						Filing Date if
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Appropriate</u>	
_____	AA 6,002,768	12/14/99	Albanese, et al	713	175		
_____	AB 5,589,873	12/31/96	Natori, et al	348	14.09		
_____	AC 6,347,339	02/12/02	Morris, et al	709	237		
_____	AD 6,108,028	08/22/00	Skarbo	348	14.03		
_____	AE 6,216,122	04/10/01	Elson	707	3		
_____	AF 6,496,201	12/17/02	Baldwin	345	753		
_____	AG 6,296,483	10/02/01	Cahmpleboux	433	175		
_____	AH 2003/0163538	08/28/03	Yeh, et al	709	206		

FOREIGN PATENT DOCUMENTS

Examiner	Document						Translation
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Yes</u>	<u>No</u>
_____	AI						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Initial

AJ International Business Machines Research Disclosure #444615, "Application Service Portal for Multi-Company, Multi-Service Offerings", pages 658 and 659, April 2001

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.